2 2002 E		DPY OF PAPERS INGINALLY FILED			(J)/10		
Please type a plus sign (+) inside this box →		U.S. Pai	tent and Trai	demark	PTO/SB/21 (08-00) red for use through 10/31/02. OMB 0651-0031 Office; U.S. DEPARTMENT OF COMMERCE unless it displays a valid OMB control number.		
MAR		Application Number		9/925,236			
TRANSMITTAL FORM		Filing Date	August 8, 2001				
		First Named Inventor	Chaitan	KHOSL	A. et al. RECEIVE		
		Group Art Unit	1652		MAY 2 4 2002		
(to be used for all correspondence after initial filing)		Examiner Name	To Be A	signed	TECH CENTER 1600/2		
Total Number Of Pages In This Submission 8		Attorney Docket No.	30062200	00123			
	ENCLOS	URES (check all tha	t apply)				
Fee Transmittal Form		signment Papers r an Application)			After Allowance Communication to Group		
Fee Attached	Dra	Drawing(s) (11 sheets)			Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Pe	tition			Proprietary Information		
Affidavits/declarations		tition to Convert to a ovisional Application			Status Letter		
Extension of Time Request - 2 m	nonths D Po	wer of Attorney, Revocation ange of Correspondence Ac		X	Other Enclosure(s) (please identify below):		
	Tei	minal Disclaimer			 Request for a Corrected Filing Receipt (2 pages); 		
Express Abandonment Request		quest for Refund			2. Red-lined copy of UpdatedOfficial Filing Receipt (2 pages) 3. Return Postcard		
Information Disclosure Statemer	it 🗌 CD	, Number of CD(s)	,				
Certified Copy of Priority Docum	ent(s) Remarks						
Response to Missing Parts/		J					
Incomplete Application							
Response to Missing Par under 37 CFR 1.52 or 1.5							
	I						
Sic	SNATURE OF	APPLICANT, ATTORI	NEY OR	AGE	NT		
Firm Morrison & F		0 See Diese CA 01000					
Drando 1 W/	Centre Drive, Suite 50 allach, Ph.D., Reg. No	0, San Diego, CA 91230 5. 45,193					
		mallach					
Date May/ <u>0</u> , 2003							

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 12 2002.

Vicki L. Andrews

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231







PATENT Docket No. 300622000123

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination Washington, D.C. 20231, on May 10, 2002.

: L (Indrew) Vicki L. Andrews

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

MAY 2 4 2002

In the application of:

Chaitan KHOSLA, et al.

Serial No.: 09/925,236

Filing Date: August 8, 2001

For: RECOMBINANT PRODUCTION OF NOVEL POLYKETIDES

Examiner: Not yet assigned. TECH CENTER 1600/2900

Group Art Unit: 1652

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified

application. The correction needed is as follows:



COPY OF PAPERS ORIGINALLY FILED

Please correct the priority data as follows:

THIS APPLICATION IS A CON OF 09/263,184 03/05/1999 WHICH IS A DIV OF 08/828,898 03/31/1997 PAT 6,022,731 WHICH IS A CON OF 08/238,811 05/06/1994 PAT 5,672,491 WHICH IS A CIP OF 08/164,301 12/08/1993 ABN WHICH IS A CIP OF 08/123,732, 09/20/1993 ABN

A copy of the marked-up filing receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: May <u>10</u>, 2002

By: Brenda Wallach Brenda J. Wallach, Ph.D.

Registration No. 45,193

Morrison & Foerster LLP 3811 Valley Centre Drive Suite 500 San Diego, California 92130-2332 Telephone: (858) 720-7961 Facsimile: (858) 720-5125

MAN 2 2 TOTA BE	COPY ORIGI STATES PATE	LLY FILED	- TEC,	MAY 2 4 200 USENTER 1600	F F	HAR 202	
	OINILS I'NI				MO. ITED STATES P		ERSTERENTS MARK OFFICE
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	www.uspto.gov
09/925,236	08/08/2001	1652	355	300622000123	13	20	1
Brenda J. Wallach, Ph Morrison & Foerster Ll Suite 500 3811 Valley Centre Dr San Diego, CA 92130-	_P ive					IFIRMATION NG RECEIF 80°	

Date Mailed: 03/14/2002

WHICH IS A DIV OF 08/828,898 03/31/1997 PAT 6,022,781 WHICH IS A CON OF 08/238,811

05/06/1994 PAT 5.672,491

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Chaitan Khosla, Stanford, CA; David A. Hopwood, Norwich, UNITED KINGDOM; Susanne Ebert-Khosla, Stanford, CA; Robert McDaniel, Palo Alto, CA; Hong Fu, Stanford, CA; Camilla Kao, Stanford, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/263,184 03/05/1999 WHICH IS A CIP OF 08/164,301 12/08/1993 ABN WHICH IS A CIP OF 08/123,732 09/20/1993 ABN

Foreign Applications

If Required, Foreign Filing License Granted 08/30/2001

Projected Publication Date: 06/20/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

٢	* No D all a constant wat
Ì	Reviewed by Duckeling
	initials_AXL
	i 1

Recombinant production of novel polyketides

Preliminary Class

435

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).